

# Directors' and Officers' Liability Proposal Form

Please provide full details using separate sheets of paper if required.

Please note that the completion and submission of this form does not bind you or us to enter into a contract of insurance. In order to minimise the need for further clarification please answer all questions fully.

You understand that you must make a fair presentation of the risk to us when completing this form and at inception, renewal and whenever you request changes to your policy. This means that you must tell us about all facts and circumstances which may be material to the risks covered by the policy in a clear and accessible manner and must not misrepresent any material facts. A material fact is one which would influence our acceptance or assessment of the risk. If you have any doubt about facts considered material, it is in your interest to disclose them.

If you do not make a fair presentation of the risk the policy may be avoided, written on different terms or a higher premium may be charged, depending on the circumstances of the failure to present the risk fairly.

## Details of company

Name of company

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Address of registered office

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Postcode

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Company registration number

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Country of registration

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How long has the company continually carried on business?

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What are the business activities of the company and its subsidiaries?

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Annual turnover (as stated in the latest filed accounts or as projected if no accounts have yet been filed). £

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Has the company made any acquisitions or disposals in the past 12 months?

Yes  No

If yes, please provide details

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Is the company at the current time aware of any proposals relating to its acquisition by another company?

Yes  No

Has the capital structure of the parent company changed in the last three years?

Yes  No

If yes, please provide details

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## Details of company (continued)

Has the company publicly announced any new offering of securities within the next 12 months?

a) Private

Yes  No

b) Public

Yes  No

c) Listed on any UK stock exchange

Yes  No

d) Listed on foreign stock exchanges (if yes please specify below)

Yes  No

Total number of shareholders?

Total number of shares issued?

Total number of shares held by directors and officers?

Name and percentage of holdings of any shareholder owning 10% or more of the ordinary shares?

Please give details of any change of directors in the last 12 months.

Please give the total gross assets of the group within the USA and Canada.

Please list those subsidiaries in the USA and Canada that are not wholly owned together with the parent organisation's percentage interest in each.

Does the company or any of its subsidiaries have any stock, shares or debentures or any other debt or equity in USA or Canada?

Yes  No

If yes, please provide details.

Please list all overseas subsidiaries including country in which they are incorporated and the parent organisation's percentage interest in each.

## Auditors

Who are the company's auditors?

How long have they been employed by the company?

Is the company considering changing their auditors in the next 12 months?

Yes  No

If yes, please provide details.

## Insurance history

Does the company have Directors' and Officers' Liability insurance currently in force?

Yes  No

If yes, please state the following:

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The insurer

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The limit

Renewal date

D	D	M	M	Y	Y	Y	Y
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Has the company ever had any insurer decline, cancel, non renew or apply special terms to any prior policy or application for Directors' and Officers' Liability insurance?

Yes  No

If yes, please provide details.

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Have claims ever been made against any past or present director or officer of the company or its subsidiaries?

Yes  No

If yes, please provide details.

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Is the insured aware after enquiry of any circumstances which may give rise to a claim?

Yes  No

If yes, please provide details.

## Limit of indemnity

Please state the limit of indemnity for which you require a quotation.

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## Important notes

### How we use personal information

We hold personal information in accordance with the Data Protection Act 1998. The information supplied to us by you may be held on computer and passed to other insurers and reinsurers for underwriting and claims purposes. You should show this to anyone whose personal information may be processed to administer this policy including handling any claims.

We use a variety of security technologies and procedures to help protect personal information from inappropriate use, and we will continue to revise procedures and implement additional security features as new technology becomes available.

We may use personal information for underwriting and claims purposes, statistical analysis, management information, market research, audits on the handling of claims, systems integrity testing, and risk management. We will only share personal information as described in this notice or where we are required or allowed to do so by law.

We may record or monitor telephone calls for security and regulatory purposes.

## Declaration

I/we declare that the statement and particulars in this proposal are true and complete. I/we have made a fair presentation of the risk and have not misrepresented or suppressed any material facts after full enquiry of relevant parties within my/our organisation. I/we agree to the contract of insurance being prepared using the information I/we have supplied in this form, along with any associated information I/we have supplied. I/we shall inform you of any material alteration to those facts and/or the information supplied before completion of the contract of insurance.

Signature for proposer
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Date 

D	D	M	M	Y	Y	Y	Y
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Printed name

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Position held

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N.B.

1. Failure to disclose material facts could result in your policy being invalidated. Material facts are those facts which might influence the acceptance or assessment of your proposal. If you are in doubt as to whether a fact is material you should disclose it.
2. Unless otherwise agreed the policy will be in the standard form issued by the Company a copy of which is available on request.



### Zurich Insurance plc

A public limited company incorporated in Ireland Registration No. 13460.

Registered Office: Zurich House, Ballsbridge Park, Dublin 4, Ireland.

UK Branch registered in England and Wales, Registration No. BR7985.

UK Branch Head Office: The Zurich Centre, 3000 Parkway, Whiteley, Fareham, Hampshire PO15 7JZ.

Zurich Insurance plc is authorised by the Central Bank of Ireland and subject to limited regulation by the Financial Conduct Authority. Details about the extent of our regulation by the Financial Conduct Authority are available from us on request. These details can be checked on the FCA's Financial Services Register via their website [www.fca.org.uk](http://www.fca.org.uk) or by contacting them on 0800 111 6768. Our FCA Firm Reference Number is 203093.

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